Quarter-filled spin density wave states with long-range Coulomb interaction*

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Spin density wave (SDW) states at quarter-filling, which coexist with charge density wave (CDW) states, have been examined where the critical temperature is calculated for an extended Hubbard model with long range repulsive interactions. Within the mean-field theory, it is shown that the first order transition occurs with decreasing temperature for interactions located around the boundary between SDW state and CDW state.

KEYWORDS: A. Organic compound, D. Spin-density waves, D. Charge-density waves, D. Phase transition

§1. Introduction

Bechgaard salts ((TMTSF)₂X and (TMTTF)₂X), which are known as low dimensional organic conductors, exhibit spin density wave (SDW) states at low temperatures.^{1,2)} The SDW states exhibit several unconventional properties associated with charge density wave (CDW). The recent X-ray experiment on $(TMTSF)_2PF_6$ salt^{3,4)} has shown that $2k_{\rm F}$ -SDW coexists with $2k_{\rm F}$ -CDW at temperatures just below the onset temperature of SDW where $k_{\rm F}$ denotes a Fermi momentum. The coexistence of SDW and CDW has been studied theoretically in terms of the mean-field theory at the absolute zero temperature. By taking into account repulsive interactions of both on-site and nearest-neighbor sites and dimerization, it has been demonstrated that $2k_{\rm F}$ -SDW coexists with $4k_{\rm F}$ -CDW.⁵⁾ Further the coexistence of $2k_{\rm F}$ -SDW and $2k_{\rm F}$ -CDW has been found by adding the nextnearest-neighbor repulsive interaction.⁶⁾

In the present paper, by extending the previous calculations, $^{5,6,7)}$ we study if such a long range Coulomb interaction results in the coexistence even at the onset temperature of the SDW state, as found in the experiment. $^{3,4)}$

§2. Formulation

We examine a one-dimensional extended Hubbard model with interactions of on-site (U), nearest-neighbor (V), next-nearest-neighbor (V_2) sites and dimerization energy (t_d) . The Hamiltonian is expressed as⁶

$$H = -\sum_{\sigma=\uparrow,\downarrow} \sum_{j=1}^{N} \left(t - (-1)^{j} t_{\mathrm{d}} \right) \left(C_{j\sigma}^{\dagger} C_{j+1,\sigma} + h.c. \right)$$

$$+ U \sum_{j=1}^{N} n_{j\uparrow} n_{j\downarrow} + \sum_{j=1}^{N} V_{1j} n_{j} n_{j+1} + V_{2} \sum_{j=1}^{N} n_{j} n_{j+2} . (1)$$

The quantity $C_{j\sigma}^{\dagger}$ denotes the creation operator of the electron at the j-th site and $V_{1j} = V - (-1)^j \delta V$ where δV also comes from dimerization and $n_j = n_{j\uparrow} + n_{j\downarrow}$ with $n_{j\sigma} = C_{j\sigma}^{\dagger} C_{j\sigma}$. Quantities t, $k_{\rm B}$ and lattice constant are taken as unity. Due to the quarter-filled band with the Fermi wave vector $k_{\rm F} = \pi/4$, order parameters with m = 1, 2, 3 are calculated self-consistently by

$$S_{mQ_0} = \frac{1}{N} \sum_{\sigma=\uparrow,\downarrow} \sum_{-\pi < k \le \pi} \operatorname{sgn}(\sigma) \left\langle C_{k\sigma}^{\dagger} C_{k+mQ_0,\sigma} \right\rangle_{\mathrm{MF}},$$
(2)

$$D_{mQ_0} = \frac{1}{N} \sum_{\sigma=\uparrow,\downarrow} \sum_{-\pi < k \le \pi} \left\langle C_{k\sigma}^{\dagger} C_{k+mQ_0,\sigma} \right\rangle_{\text{MF}} , \qquad (3)$$

where $Q_0 = 2k_{\rm F}$, $S_0 = 0$, $D_0 = 1/2$, $S_{Q_0} = S_{3Q_0}^*$, $D_{Q_0} = D_{3Q_0}^*$, $S_{2Q_0} = S_{2Q_0}^* \equiv S_2$ and $D_{2Q_0} = D_{2Q_0}^* \equiv D_2$. In Eqs. (2) and (3), $S_1(\equiv |S_{Q_0}|)$, S_2 , $D_1(\equiv |D_{Q_0}|)$ and D_2 correspond to the amplitudes for $2k_{\rm F}$ -SDW, $4k_{\rm F}$ -SDW, $2k_{\rm F}$ -CDW and $4k_{\rm F}$ -CDW respectively. From eqs. (2) and (3), the free energy per site with the quantity $U/16 + V/4 + V_2/4$ subtracted is given by

$$F_{\text{MF}} = -\frac{T}{N} \sum_{\sigma} \sum_{0 < k \le Q_0} \sum_{n=1}^{4} \ln\left(1 + \exp[(E_{n\sigma}(k) - \mu)/T]\right)$$

$$+ U\left[-\frac{1}{8} - \frac{1}{2}\left(|D_{Q_0}|^2 - |S_{Q_0}|^2\right) - \frac{1}{4}\left(D_{2Q_0}^2 - S_{2Q_0}^2\right)\right]$$

$$+ V\left(-\frac{1}{2} + D_{2Q_0}^2\right) + i\delta V\left(D_{Q_0}^2 - D_{Q_0}^{*2}\right)$$

$$+ V_2\left(-\frac{1}{2} + 2|D_{Q_0}|^2 - D_{2Q_0}^2\right) + \frac{\mu}{2}, \tag{4}$$

where T is temperature and μ is a chemical potential determined by $D_0 = 1/2$. In eq. (4), $E_{n\sigma}$ is the eigen value for the mean-filed Hamiltonian,⁷⁾

$$H_{\rm MF} = \sum_{\sigma=\uparrow,\downarrow} \sum_{-\pi < k \le \pi} \left[\left(\varepsilon_k + \frac{U}{4} + V + V_2 \right) C_{k\sigma}^{\dagger} C_{k\sigma} \right]$$

$$+\left(\Delta_{Q_0\sigma}C_{k+Q_0,\sigma}^{\dagger}C_{k\sigma}+h.c.\right)+\Delta_{2Q_0\sigma}C_{k\sigma}^{\dagger}C_{k+2Q_0,\sigma}\right],(5)$$

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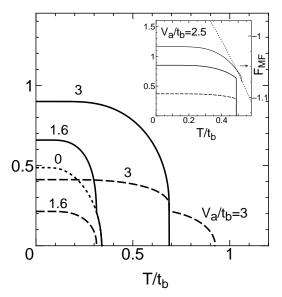


Fig. 1. The T/t_b -dependence of order parameters, S_1 (solid and dotted curves) and D_2 (dashed curve) for $V_a/t_b = 0$, 1.6 and 3 with the fixed $V_2/V_a = 0$. In the inset, corresponding S_1 and D_2 for $V_a/t_b = 2.5$ (left axis) is shown with free energies (right axis) for $V_a/t_b = 2.5$ (thin solid curve) and the normal state (thin dotted curve).

where $\varepsilon_k = -2t\cos k$, $\Delta_{Q_0\sigma} = (U/2 - 2V_2)D_{Q_0} + 2\mathrm{i}\delta V D_{Q_0}^* - \mathrm{sgn}(\sigma)US_{Q_0}/2$ and $\Delta_{2Q_0\sigma} = (U/2 - 2V + 2V_2)D_{2Q_0} - \mathrm{sgn}(\sigma)US_{2Q_0}/2 - 2\mathrm{i}t_\mathrm{d}\sin k$. Here we note an excess free energy, δF , which is obtained by expanding F_MF in terms of order parameters. Since there are two kinds of coupling for $D_2S_1^2$ and $S_1S_2D_1$ as found in the next section, the relevant expressions for δF are written as

$$\delta F = B_{S_1} S_1^2 + B_{D_2} D_2^2 + G_1 D_2 S_1^2 + \cdots , \qquad (6)$$

$$\delta F = B_{S_1} S_1^2 + B_{S_2} S_2^2 + B_{D_1} D_1^2 + G_2 S_1 S_2 D_1 + \cdots , \qquad (7)$$

where G_1 and G_2 are coupling constants. For small B_X , one obtains $B_X \propto (T - T_X^0)$ with T_X^0 ($X = S_1, D_2$ and D_1) being the onset temperature for S_1 -state, D_2 -state and D_1 -state.

§3. Phase diagram at finite temperatures

We examine SDW states at finite temperatures, by calculating eqs. (2), (3) and (4). The numerical calculation is performed by using $t_b = t - t_d$ and $V_a = V + \delta V$ with the fixed $t_d/t = 1/21$, U/t = 3.81 and $\delta V/V = 1/9.6$

In Fig. 1, T-dependence of order parameters for $V_2 = 0$ and $V_a/t_b = 0$, 1.6 and 3 is shown where solid curve and dashed curve correspond to S_1 and D_2 , respectively and $S_2 = D_1 = 0$. The dotted curve with $V_a = 0$ denotes a conventional $2k_F$ -SDW state. There are two kinds of phase transitions for $V_a/t_b = 1.6$ (3) where pure S_1 state (D_2 state) is obtained at high temperatures with $0.314 < T/t_b < 0.341$ ($0.688 < T/t_b < 0.924$) while a coexistent state of S_1 and D_2 is obtained at low temperature with $T/t_b < 0.314$ ($T/t_b < 0.688$). The inset denotes a first order transition into a coexistent state

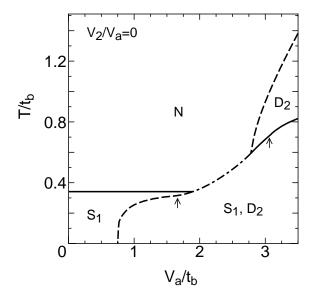


Fig. 2. Phase diagram on the plane of V_a/t_b and T/t_b with V_2/V_a = 0 where S_1 , D_2 and N correspond to $2k_{\rm F}$ -SDW, $4k_{\rm F}$ -CDW and normal state, respectively. In the interval region between two values (V_a/t_b) shown by two arrows, a first order transition takes place with increasing temperature from the ground state.

of S_1 and D_2 , which occurs at $T/t_b=0.488$ for $V_a/t_b=0.488$ 2.5. The first order transition temperature is estimated by comparing $F_{\rm MF}$ of eq.(4). Based on these results, phase diagram on the plane of V_a/t_b and T/t_b for $V_2=0$ is shown in Fig. 2, where $S_1 \neq 0$ $(D_2 \neq 0)$ at temperatures below the solid curve (dashed curve). With decreasing temperature, the second order transition into S_1 state occurs for $V_a/t_b < 0.75$ while the second order transition into S_1 state is followed by the second (first) order transition into the coexistent state of S_1 and D_2 for $0.75 < V_a/t_b < 1.67$ (1.67 $< V_a/t_b < 1.89$). It is noticeable that the first order transition from the normal (N)state into the coexistent state of S_1 and D_2 takes place on the dash-dotted curve with $1.89 < V_a/t_b < 2.78$. Further the second order transition into D_2 state is followed by the first (second) order transition into the coexistent state of S_1 and D_2 for $2.78 < V_a/t_b < 3.07 (3.07 < V_a/t_b)$). Equation (6) indicates a fact that the first order transition originates in the third term with a coefficient G_1 and that the second order transition from S_1 state to the coexistent state of S_1 and D_2 is due to $G_1 = 0$ in the pure S_1 state. Thus the first order transition for $V_2 = 0$ is attributable to the third term of eq. (6).

Next we examine another case of $V_2/V_a = 1$. In Fig. 3, T-dependence of order parameters is shown with some choices of V_a/t_b where the solid curve, dashed curve and dash-dotted curve correspond to S_1 , D_1 and S_2 , respectively. For $V_a/t_b = 1.4$ (2.5), pure S_1 state (D_1 state) is obtained at high temperatures with 0.223 $< T/t_b < 0.341$ (0.746 $< T/t_b < 1.00$) while a coexistent state of S_1 , D_1 and S_2 is obtained at low temperature with $T/t_b < 0.223$ ($T/t_b < 0.746$). The inset denotes a first order transition, which occurs at T/t_b =0.4 for $V_a/t_b = 1.8$. For $V_2/V_a = 1.0$, the phase diagram on the plane of V_a/t_b and T/t_b is shown in Fig. 4, where $S_1 \neq 0$ ($D_1 \neq 0$) below the solid curve (dashed curve). For

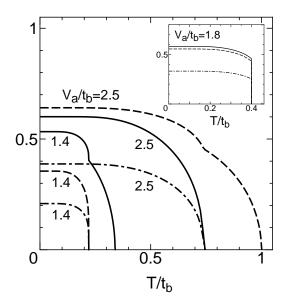


Fig. 3. The T/t_b -dependence of order parameters, S_1 (solid curve), S_2 (dash-dotted curve) and D_1 (dashed curve) for V_a/t_b =1.4 and 2.5 with the fixed V_2/V_a = 1. The inset shows order parameters for V_a/t_b = 1.8, where S_1 , S_2 , and D_1 vanish followed by a jump at a critical temperature.

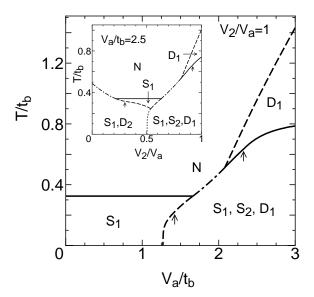


Fig. 4. Phase diagram on the plane of V_a/t_b and T/t_b with V_2/V_a = 1. The notations are the same as in Fig. 2, where S_2 and D_1 denote $4k_F$ -SDW and $2k_F$ -CDW, respectively. The inset denotes a phase diagram on the plane of V_2/V_a and T/t_b with V_a/t_b = 2.5.

 $V_a/t_b < 1.26$, the second order transition into S_1 state occurs while the second order transition into S_1 state is followed by the second (first) order transition into the coexistent state of S_1 , D_1 and S_2 for $1.26 < V_a/t_b < 1.43$ (1.43 $< V_a/t_b < 1.66$). A salient feature is the first order transition on the dash-dotted curve, where the normal (N) state moves into the coexistent state of S_1 , D_1 and S_2 in the interval region of $1.66 < V_a/t_b < 2.08$. The second order transition into D_1 state is followed by the first (second) order transition into the coexistent state of S_1 , D_1 and S_2 for $2.08 < V_a/t_b < 2.32$ (2.32 $< V_a/t_b$

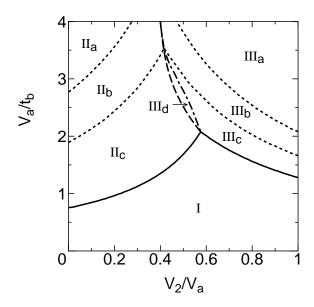


Fig. 5. Phase diagram on the plane of V_2/V_a and V_a/t_b . The solid curve (dashed curve) shows the boundary for T=0, between the pure S_1 state and the coexistent state (between two kinds of coexistent state).⁷⁾ The dotted curve corresponds to a boundary at the critical temperature where it is obtained from the intersection of the solid curve and the dashed curve in Figs. 2 and 4. The respective regions together with the dash-dotted curve are depicted in the main text.

). The first order transition originates in the third term with a coefficient G_2 of eq. (7). It should be noticed that the existence of S_2 is crucial to obtain a direct transition from N state to the coexistent of S_1 and D_1 . Actually, for $V_a/t_b=2.5$ and $V_2/V_a=0.7$, the mean-field calculation with $S_2=0$ leads to a second order transition from N state to pure S_1 state, while the corresponding calculation in the presence of S_2 exhibits a first order transition into a coexistent state.

Base on these results, a phase diagram on a plane of V_2/V_a and V_a/t_b is shown in Fig. 5. With decreasing temperatures, we obtain the following phase transitions. In the region I, a transition from N state into pure S_1 state appears while the region II_a (II_c) shows the successive transition given by $N \to D_2 \to S_1$ and D_2 ($N \to S_1$ $\rightarrow S_1$ and D_2). The first order transition from N state into a coexistent state of S_1 and D_2 is obtained in the region II_b . In the region III_a (III_c), there is the successive transition given by $N \to D_1 \to S_1$, D_1 and S_2 (N $\rightarrow S_1 \rightarrow S_1$, D_1 and S_2). The first order transition from N state into a coexistent state of S_1 , D_1 and S_2 is obtained in the region III_b while the region III_d corresponds to the transition given by $N \to S_1 \to S_1, D_2 \to S_1, D_1$ and S_2 . The region III_d is understood by an example shown in the inset of Fig. 4 on the plane of V_2/V_a and T/t_b , where the corresponding transition is obtained for $0.5 < V_2/V_a < 0.54$ for $V_a/t_b = 2.5$.

By studying a model at quarter-filling with long range Coulomb interactions, we have found that, with decreasing temperature, a first order transition from N state into the coexistent state of SDW and CDW occurs. Since there is a reasonable range of parameters, it is considered that the present result of the first order transition could

be relevant to the coexistent state of $2k_{\rm F}$ -SDW and $2k_{\rm F}$ -CDW found in the X-ray experiment.^{3,4)}

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